Observations regarding historical accounts of pneumococcal diseases due to serotypes 1 and 3

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ABSTRACT Surveillance of the serotypes causing invasive pneumococcal diseases in the UK has indicated increasing incidence of serotype 1- and serotype 3-related disease in recent years. The introduction of a pneumococcal conjugate vaccine to the paediatric vaccination schedule in 2006, which did not cover these serotypes, has been regarded as a contributing factor. Serotypes 1 and 3 were perhaps the most extensively studied pneumococcal serotypes in the early twentieth century when pneumococcal serotyping began. Such historical observations are pertinent to our understanding of contemporary disease manifestations for these serotypes as many parallels can be seen between their behaviour in the early twentieth century and the early twenty-first century. There are many relevant lessons to be learned from these pre-antibiotic era descriptions and the observations of our predecessors.

KEYWORDS Epidemiology, pneumococcus, serotype, Streptococcus pneumoniae

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INTRODUCTION

Infections due to Streptococcus pneumoniae (the pneumococcus) remain a substantial source of morbidity and mortality in both developing and developed countries, despite a century of study and the development of antibiotics and vaccination. The World Health Organization (WHO) estimates that in developing countries 814,000 children under the age of five die annually from invasive pneumococcal diseases,1 with an estimated 1.6 million deaths affecting all ages globally.2

Pneumococcal serotypes 1 and 3 were among the first to be discovered and characterised. Unlike virtually every other pneumococcal serotype, serotypes 1 and 3 have not undergone reclassification or subcategorisation to any great degree. This enables earlier descriptions of disease manifestations due to these serotypes to be compared with more contemporary work with some confidence that the descriptions relate to the same phenotypic type of pneumococcus.

The epidemiology of invasive pneumococcal disease (IPD), particularly with regard to serotypes 1 and 3, has recently been under scrutiny. The introduction of the seven-valent pneumococcal conjugate vaccine (Prevenar®, Wyeth) into the British paediatric vaccination schedule in September 2006 raised concerns that there might be a resurgence in pneumococcal diseases due to serotype 1 and 3 as they are not preventable using this vaccine. Published descriptions of serotype 1- and 3-associated IPD during the twentieth century indicate that their incidence has fluctuated even without vaccine selection pressure. The incidence of IPD due to serotype 1 was increasing in Britain before the introduction of Prevenar® and the increasing incidence of paediatric empyema cases due to serotype 1 has been of particular concern.4–7 In 2010 Prevenar® was replaced by Prevenar 13® (Wyeth), which is an updated conjugate vaccine providing additional protection against serotypes 1, 3, 5, 6A, 7F and 19A. Its effects on the incidence of serotype 1- and serotype 3-associated IPD remain to be seen. The recognised increase in IPD following influenza pandemics and epidemics8–11 is also of current interest, given the recent declaration by the WHO that the influenza A pandemic (H1N1) 2009 is considered over. Much of the post-1918 pandemic IPD morbidity and mortality was due to serotype 1.12

Early accounts of serotype 1 disease often contradict contemporary descriptions of its behaviour by demonstrating carrier states and environmental reservoirs of infection. Historical descriptions of serotype 1- and 3-associated IPD demonstrate marked differences in study design, geographical location, methodology of culture (by blood agar or mouse peritoneal inoculation) and reporting of results. But despite these variations, the descriptions of disease manifestations and serotype prevalences at the start of the twentieth century appear to differ from those at its end. Often sufficient detail has been documented in the pre-antibiotic era to provide useful insights when faced with similar scenarios 100 years on.

DEFINITIONS AND SURVEILLANCE

The classification of pneumococci has developed over the past century. In 1909 Neufeld and Händel identified a pneumococcus from cases of lobar pneumonia and raised antibodies in horses and donkeys, allowing a serological identification for the first time.13 In 1913, the
Rockefeller Institute in New York and the South African Institute for Medical Research in Johannesburg published serologically based typing schemes for pneumococci, although subsequently the South African scheme fell into disuse. The Rockefeller Institute Type II grouping was found to be heterogeneous and probably consisted of several different contemporary serotypes and so cannot be compared with serotype 2 in modern descriptions. It is likely though that the Rockefeller Institute Type I pneumococcus is equivalent to the modern serotype 1 pneumococcus.

In 1903, Schottmüller described Streptococcus mucosus17 but work in 1905 suggested S. mucosus should be reclassified as a pneumococcus.18-19 S. mucosus (renamed Pneumococcus mucosus) was described in 1917 as ‘larger, rounder, and less lanceolate than other types of pneumoccus’ and possessing ‘a large distinct capsule’.20 It grew on blood agar with colonies which were ‘moist, mucoid, and confluent’ and became known as the Type III pneumococcus.20 This phenotypic description matches that which would be seen for contemporary serotype 3 isolates (Figure 1). There is potential for misclassification when only the phenotypic appearances are used to classify serotype 3 as mucoid colonies can be observed in some other pneumococcal serotypes, albeit uncommonly.21 It was not until 1934 that Type III could be distinguished serologically from phenotypically similar Type VIII.22 So only after 1934 can it be concluded that the Type III pneumococcus is equivalent to the contemporary serotype 3 pneumococcus.

In the absence of antibiotics and with growing success in the treatment of lobar pneumonia using type-specific horse or rabbit sera,23 serotyping of disease-related isolates of pneumococci became important in order to use the correct antisera as therapy. Lobar pneumonia was a reportable illness and in the 1920s the prevalence of, and mortality associated with, the different serotypes of pneumococci responsible for lobar pneumonia and other invasive disease manifestations began to be documented in Britain. This continued throughout the 1930s.

The discovery of sulphonamide and penicillin antibiotics (which no longer required a knowledge of serotype to determine appropriate treatment) resulted in a decline in interest in pneumococcal serotyping from the 1940s until the 1980s when it again became important to determine the composition of local pneumococcal populations as polysaccharide vaccines were being introduced. By this time (likely due to the success of its pharmacological treatment), lobar pneumonia was no longer a notifiable condition. Consequently serological surveillance switched to documenting instances of pneumococcal bacteraemia and meningitis to record IPD cases. This makes contemporary serological surveillance records difficult to compare with those from earlier in the twentieth century.

CHANGES IN DISEASE BURDEN

Figures 2 and 3 demonstrate the burden of disease caused by serotype 1 (Type I) and serotype 3 (Type III) pneumococci in Glasgow and Edinburgh from the 1920s until the 1980s. Only data from original papers which pertained to cases of pneumococcal lobar pneumonia and the percentage frequency with which this was caused by serotype 1 (Type I) and serotype 3 (Type III) pneumococci in Glasgow and Edinburgh from the 1920s until the 1980s. Only data from original papers which pertained to cases of pneumococcal lobar pneumonia and the percentage frequency with which this was caused by serotype 1 or 3 are displayed. Table 1 lists the results, from other British studies, of the serotypes responsible for pneumococcal lobar pneumonia or all types of pneumonia.

The burden of pneumococcal disease in Scotland was first documented by Logan in 1918-19.25 Three of 34 (8.9%) cases of pneumococcal bronchopneumonia were due to Type I pneumococci and two of 34 (5.9%) due to Type III pneumococci. It is likely that these small numbers underestimate the disease burden at the time, particularly as they occurred during the 1918 influenza pandemic (when there was increased incidence of IPD); they are therefore not included in Figures 2 or 3.

Cowen et al.27 gathered data relating to hospitalised cases of lobar pneumonia admitted to the Glasgow
It is difficult to make meaningful comparisons of the disease burden from what would now be considered IPD. There is a lack of documentation about the methodology of blood culture technique (although it is fair to assume that it was not standardised between locations and over time). Intraperitoneal mouse inoculation was often preferred for culturing clinical specimens in the past rather than direct inoculation of specimens onto agar-based media as is routine today. Finland and Barnes demonstrated an obvious decline in cases of serotype 1 and serotype 3 blood stream infection in Boston, USA, between 1935 and 1974 (Figure 4), which does correspond with the use of antibiotics. Although no mention is made of any changes in blood culture methodology during that period, it would be counterintuitive to assume that any improvements in blood culture methodology introduced would produce a reduction in the detection rates of the pneumococcus.

Smeall from the Royal Infirmary of Edinburgh documented 24 cases of pneumococcal meningitis between 1929 and 1931. Four of these (16.7%) were due to Type I and seven (29.1%) due to Type III. Smeall also made the observation that six of the seven cases (86%) of the Type
Ill-related meningitis cases were related to otitis media and mastoiditis.52

A further condition where some comparison can be made is in the occurrence of pneumococcal empyema in both adults and children. This was well documented by Glynn and Digby.12 Much of their data came from the Royal Children’s Hospital in Liverpool. Data from Scotland regarding empyema were again recorded by Smeall in Edinburgh from 1929–31. Of 21 cases, 14 (66.7%) were due to Type I and only one (4.7%) was due to Type III.43 It would appear that during the 1920s, serotype 1-related pneumococcal empyema was a common manifestation of serotype 1 disease, accounting for up to 53% of serotype 1-related disease and 77% of all cases of pneumococcal empyema in one British series,13 while serotype 3-related pneumococcal empyema was rare (6%).43 The current increase in serotype 1-related paediatric empyema in the UK44 may then not necessarily be a new phenomenon but may be the initial signs of the serotype 1 pneumococcal population reverting back to a previous higher incidence.

MORTALITY

Many factors have influenced the mortality from IPD in Britain, including concurrent influenza infection, the use of serum therapy, antibiotics and subsequently national vaccination programmes for the elderly and infants. So comparisons of the mortality associated with different serotypes cannot be accurately made over a long period of time. However, when the mortality associated with serotype 1 and serotype 3 is compared at specific time points in studies of patient populations of a similar age range and geographical setting, it is apparent that a difference may exist between the serotypes.

In 1917, when no effective treatment other than symptomatic relief was possible, Avery in New York documented a mortality of 25% for Type I-associated lobar pneumonia and 45% for Type III.26 In untreated patients in Glasgow the mortality rates were lower at 10% for Type I and 29% for Type III, albeit in a study performed 13 years later.27 A further study at the Rockefeller Institute in 1917–1921 assessed mortality from all hospitalised pneumococcal cases; the mortality associated with Type I was 15% and Type III was 60%.44 A drop in mortality from Type I disease could be accounted for by the inclusion of patients who had received serum therapy against Type I during this period. In 1921, in a study of 195 cases of Type I pneumococcal treated with serum therapy, Cole found that the mortality rate at the Rockefeller Institute had fallen to 9.2%.32

The greater mortality from Type III in the Thomas study44 may be due to the inclusion of cases which occurred during the 1918–19 influenza pandemic. It is calculated that in England and Wales in the 1919 post-influenza pandemic, 7,985 people contracted Type I pneumococcal pneumonia and about 4,000 died from it.53 A marked rise in the detection of pneumococcal blood stream infections during the 1918 Louisiana influenza epidemic was shown by McClelland when, pre-influenza, positive blood cultures were detected in 19.1% of cases of pneumococcal lobar pneumonia (all types) with an associated mortality of 25%, rising to 46% with an associated mortality of 88% during the influenza pandemic.41 An effect of influenza on mortality from pneumococcal disease was also documented by Alston and Stewart at the Royal Infirmary of Edinburgh in 1929–30 during an influenza epidemic when mortality in hospitalised cases of lobar pneumonia associated with the Type I pneumococcus was 23% and that due to Type III 71%.27 In 1922, Lyon in Boston, USA, observed that Type III pneumococcal bloodstream infection in children was invariably fatal.42

Even after the extensive use of penicillin and withdrawal of serum therapy as the mainstay of therapy, there is a difference in mortality associated with serotype 1 and serotype 3 pneumococci. A small series of cases of hospitalised, community-acquired pneumococcal pneumonia from Nottingham in 1981 found that nine of 24 (37%) of patients with serotype 3 infection died, compared with three of 18 (17%) with serotype 1 infection. It was observed that serotype 3 accounted for about 25% of all the pneumococcal pneumonia cases but for more than 50% of fatalities from the disease.43 The association of serotype 3 IPD and an increased risk of a fatal outcome compared with serotype 1 has been more recently documented in other European countries.47–50

Overall these studies concur with Stillman’s observation in 1916 that ‘although this organism [Type III] is responsible for but a small percentage of the cases of lobar pneumonia, it produces an unusually severe type of the disease’.38

CARRIER STATES OF SEROTYPES 1 AND 3

Observations about a carrier state for Type I and Type III pneumococci are often different in the pre-antibiotic era compared with modern times. Dochuz and Avery in 1915 noted carriage of Type I pneumococci in three of 66 (4.5%) pneumococcal carriers who were ‘normal individuals’, but they were unable to assess Type III carriage rates due to a lack of Type III antiserum.25 In 1917 Stillman wrote that ‘although pneumococcus is present in the mouths of about fifty percent of normal individuals, it is extremely rare to find pneumococcus of Type I in the normal mouth except in individuals who have been in intimate association with patients suffering from lobar pneumonia’.32 This was a conclusion based on his earlier observation that only four of 172 (2.3%) pneumococcal oropharyngeal carriers carried Type I and 44 of the 172 (26%) carried Type III. Moreover, carriage of Type III persisted in some cases for more than three months, while carriage of Type I lasted for up to 90 days after recovery from pneumococcal pneumonia.32 Strom in 1932 also recorded a long period of Type I carriage – 73 days...
after recovery from pneumonia. So accounts from the pre-antibiotic era document that a healthy carrier state of Type I can and did occur in individuals in close contact with cases and for prolonged periods in those who had recovered from Type I-associated lobar pneumonia.

Interestingly, modern studies of the serotypes detected from asymptomatic pneumococcal carriers often suggest that it is uncommon to find serotype 1 being carried by individuals. Serotype 3 carriage is more common in such studies. In keeping with this, Meyer in 1920 demonstrated higher Type III carriage rates than for Type I, which was not detected.

In 1917, Stillman cultured sputa from 107 healthy household contacts of 28 patients admitted with Type I lobar pneumonia and found that 15% were Type I carriers. For these healthy contacts, the average period of carriage was 25 days for Type I, potentially providing a reservoir for further infection. In the same year, Avery and colleagues noted a Type I carriage rate of 13% in the contacts of cases of lobar pneumonia and only 0.33% in controls who had no contact with cases of lobar pneumonia. Type I carriers harboured it for three to four weeks. Similar differences between contacts and controls with regard to Type I carriage were documented in Glasgow in 1932 by Christie.

In a case study in 1919, Sailer et al. in Georgia, USA, performed nasopharyngeal swabbing on 700 soldiers and detected a pneumococcal carriage rate of 16%. Of the carriers, 5.4% were Type I and 4.5% were Type III. They acknowledged that their carriage rates were influenced by recent outbreaks of measles and influenza. There is also an association with higher pneumococcal carriage rates during times of epidemic upper respiratory tract infection or pneumococcal pneumonia.

In 1932 Strom documented the decline in serotype I carriage rates after a serotype I outbreak in a Norwegian orphanage the previous year. The serotype I carriage rate fell from 33% at the height of the outbreak to 16.9% three months later but was undetectable at all times in neighbouring orphanages unaffected by the outbreak. The 1937 serotype I pneumococcal pneumonia outbreak at the State Hospital in Worcester, Massachusetts, noted a carriage rate of almost 10%.

Hodges and MacLeod suggest reasons why serotype 1 and serotype 3 carriage rates varied so much in early carriage studies, particularly with regard to differences in the methodology for specimen processing as they compared several culture methods. Interestingly, mouse inoculation (favoured by the early Rockefeller Institute studies) yielded higher recovery rates of serotype 1 and serotype 3 pneumococci than direct plating of throat swabs onto blood agar (similar to the contemporary WHO standard method). Hodges and MacLeod also documented carriage of multiple serotypes simultaneously and suggested that the carriage rates of pneumococci rise when the number of cases of pneumococcal pneumonia rise, possibly through the existence of carriers who are contacts of cases. Additionally, they noted that the longer that men were resident in military barracks, the greater the risk of pneumococcal carriage, suggesting a role for proximity to other people as a factor resulting in increased pneumococcal carriage. This increased risk of carriage of serotype 1 during outbreaks has also been documented during outbreaks in the antibiotic era.

Different culture methods affecting the observed carriage rates could be a reason why serotype 1 carriage rates were apparently higher at the start of the twentieth century when mouse inoculation was common but dropped later when carriage study methodology involved plating nasopharyngeal swabs onto blood agar. Differences in the frequency of sampling and in the populations studied could also account for the differences in carriage rates. It is also known that adults in close contact with children have higher carriage rates than adults with no contact with children, although this has not been specifically demonstrated with serotypes 1 or 3.

It may be reasonable to conclude that serotype 1 carriage does still occur in communities, that it does increase when numbers of cases of pneumococcal pneumonia due to serotype 1 increase in the community, but that it is not as readily detected by current standard methods as other serotypes, such as serotype 3.

PNEUMOCOCCAL NOSOCOMIAL OUTBREAKS

Possibly the first described pneumococcal nosocomial outbreak was in 1917 when Stillman described two Type I-associated outbreaks. The first occurred in a ‘boys’ asylum’, where three cases of Type I pneumonia occurred in the same dormitory, and the second at the Rochester State Hospital for the Insane, where there were six cases of pneumonia with two fatalities. Type I pneumococci were recovered from the rooms of the cases and from 2% of healthy contacts. Stillman also described two episodes of transmission of Type I pneumococci, one between a hospitalised boy with pneumonia and his mother who became symptomatic 11 days later and another between a woman hospitalised with Type I pneumococcal pneumonia and her five-year-old daughter. This girl probably infected a further child in her foster family (who also developed Type I pneumococcal pneumonia), while she continued to remain healthy herself.

Malloch records a man with Type I lobar pneumonia who infected his daughter who developed pneumococcal peritonitis and then infected her nurse who also developed pneumococcal peritonitis. A larger community outbreak of Type I pneumonia and otitis media was documented in Massachusetts in 1935.
Apart from historical accounts of Type I transmission, there continue to be more recent outbreaks of serotype 1 pneumococcal disease and recently described associations of serotype 1 causing outbreaks of meningitis in Sub-Saharan Africa. Although such outbreaks are uncommon, they often result in fatalities and are potentially preventable in adults using the 23-valent polysaccharide vaccine in susceptible groups such as the elderly, homeless and military personnel living in barracks.

Pneumococcal carriage rates of up to 56% have been recorded in hospital staff. The fact that nurses could be healthy carriers of Type I pneumococci and potential disease vectors was documented as early as 1932 in Glasgow where it was noted that Type I carriage could be detected among nurses working in pneumonia wards but not in nurses who had no contact with pneumonia patients in control wards.

It is striking that as recently as 20 years ago, 20% of hospitalised patients with pneumococcal chest infections had acquired their infection in hospital. This supports routine pneumococcal vaccination of the elderly who are at increased risk of hospitalisation and may be placed in close proximity to cases. The spread of infection is often due to the proximity of contact with an index case. This should therefore be considered a risk factor for healthcare workers in regular close contact with cases of pneumococcal pneumonia and has occupational health considerations for healthcare workers in at-risk groups, such as asplenic staff. It should also influence the proximity of beds and availability of isolation facilities in wards managing patients with pneumonia.

CONTAMINATION AND INFECTION CONTROL

Possibly the first description of environmental contamination with pneumococci was by Netter in 1897. Stillman observed that no environmental contamination with pneumococci occurred unless a patient with pneumococcal disease or a healthy carrier had been an occupant of the house tested. He made detailed accounts of environmental contamination by cases with Type I disease, noting that: ‘Of 30 homes where a case of Type I pneumonia had occurred, in 13 instances, or 43 percent, a Type I pneumococcus was recovered from at least 1 room. In 2 instances a Type I pneumococcus was recovered from 2 rooms. In all, 44 rooms were examined. From 15 of these rooms or 34 percent, a Type I pneumococcus was recovered.’

Avery et al. in 1917 also documented environmental contamination by patients with pneumonia. These observations led them to suggest infection control measures for cases of lobar pneumonia still pertinent today: ‘A large percentage of pneumonia patients contaminate the rooms which they occupy, as is shown by the large number of instances in which strictly pathogenic types of pneumococcus can be recovered from the dust of these apartments. This indicates the necessity for thorough cleansing of any room occupied by a case of pneumonia at the close of the period of convalescence. In addition, the daily cleansing of the sick room should be practised in such a way as to avoid dissemination of dust particles.’

Although it had been advisable in the pre-antibiotic era, towards the end of the twentieth century it was deemed impractical to isolate all patients with pneumococcal infection in hospital. Even though the infection was transmissible in the hospital setting, it was felt that the risk posed was generally less than that for other pathogens. Historical public health warnings about the dangers of ‘promiscuous spitting’, education on ‘proper methods of disposing of sputum or expectoration’ or advice to ‘refrain from kissing’ seem all too familiar in the aftermath of the recent H1N1 influenza pandemic. Isolation still has relevance in the control of an institutional outbreak, in cases of meningitis or in the case of disease due to multiple antibiotic-resistant pneumococcal clones where it is considered important to ‘barrier nurse carriers and infected cases in a side room with the door closed. If more than one infected case is detected on the ward, staff and other patients on the ward should be screened for carriage (sputum and nose/throat swab) and eradication of the organism may be attempted. If antibiotics have been given to clear the organisms, three consecutive negative nasopharyngeal cultures are required before eradication may be considered successful.

PNEUMOCOCCAL VACCINATION

Due to the burden of IPD at the start of the twentieth century and its impact on the war efforts, many attempts were made to formulate monovalent and polyvalent vaccines, some as early as 1911. Primarily these vaccines were trialled in the USA and South Africa; they are comprehensively reviewed elsewhere. There was variable success with the prevention of Type I IPD; some vaccines demonstrated no antibody response and no benefit, while groups such as Smillie and colleagues demonstrated substantial benefit. Often results using Type III polysaccharide were inconclusive. It was recognised that production of high titres of antisera to Type III were only achieved ‘irregularly and with great difficulty’. This was not usually the case with Type I or most other serotypes.

Early vaccines often consisted of inoculation with pneumococcal polysaccharide. It is still recognised that, using the 23-valent pneumococcal polysaccharide vaccine (which remains in routine use), the elderly produce less of an immune response to serotype 1 or 3 than to many other serotypes. Serotype 3 polysaccharide was found to be more effective at generating an immune response when conjugated to diphtheria toxoid. The effect of conjugated serotype 3 polysaccharide vaccination on serotype 3 IPD
incidence is under surveillance now that Prevenar 13® (which contains serotype 3 polysaccharide conjugated to diphtheria toxoid) has entered routine use.

**CONCLUSIONS**

There is extensive documentation of the epidemiology of pneumococcal disease in the early twentieth century, much of which is compatible with currently classified serotype 1- and serotype 3-related pneumococcal disease. These descriptions are strikingly similar in their accounts of disease manifestations, differences in mortality rates between serotype 1 and 3 infections, and serotype 1- and serotype 3-associated disease.

**REFERENCES**


